



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT APPLICATION NUMBER FILING OR 371 (c) DATE ATTORNEY DOCKET NUMBER

10/813,815

VOLPE AND KOENIG, P.C.

30 SOUTH 17TH STREET PHILADELPHIA, PA 19103

UNITED PLAZA, SUITE 1600

3624

03/31/2004

Ujjwal Manna

KUM-PT002

CONFIRMATION NO. 5043

**FORMALITIES LETTER** 

OC000000012939439\*

Date Mailed: 06/14/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 1-6.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

09/14/2004 SSITHIB1 00000021 10813815 01 FC:1051 130.00 OP Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Z. Araza

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (04-04)
Approved for use through 07/31/2006. OMB 0651-0031

ZOWEN AND	nder the Paperwo	rk Reduction Act of 1995.	no person	s are required to respond to a collection  Application Number	on of info	rmation unless it	display	s a valid OM	В соп	rol number.	
PAINT					10/8	13,815	_				
TRANSMITTAL FORM				Filing Date	Marc	March 31, 2004					
				First Named Inventor	Man	Manna et al.					
(to be used for all correspondence after initial filing)			Art Unit	1723							
			Examiner Name	Yet Known							
Tota	al Number of Page	es in This Submission	Attorney Docket Number	KUM-PT002							
	ENCLOSURES (Check all that apply)										
$\boxtimes$	Fee Transmitta			Drawing(s)		to Ted	chnolog	nce commu gy Center ( munication	TC)		
	Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement			Licensing-related Papers Petition		of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				;	
				Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer			Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):				
$\boxtimes$											
				Request for Refund See R				emarks Section			
				CD, Number of CD(s)							
Certified Copy of Priority Document(s)			Remarks								
	Response to Missing Parts/			Copy of Notice to File Missing Parts of Nonprovisional Application; Original Fully Executed Declaration, Request for							
Incomplete Application			Corrected Filing Receipt, Copy of Marked-up Filing Receipt and						pt and		
		nse to Missing Parts 37 CFR 1.52 or 1.53	Supp	Supplemental Application Data Sheet							
		SIGNA	TURE C	OF APPLICANT, ATTORN	EY. O	R AGENT			*		
Firm	Lou	uis Weinstein	<del>-</del>	<del></del>		20,477					
or Individ		lpe and Koenig, P	.C_		_	•					
Signat		7_1		1							
Date		V	A Pa	mber 9.2004		<del></del>				**	
_		9.	<i>T</i>	CATE OF TRANSMISSION	I/NA A E	LING					
<del></del>				CATE OF TRANSMISSION			·	- t D			
sufficie	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.										
Typed or printed name Louis Weinstein											
Signature 4			- L	) ato	ſ	Date	9/0	9/	04		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **E TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(5)240	0
--------	---

Complete if Known					
Application Number	10/813,815				
Filing Date	March 31, 2004				
First Named Inventor	Manna et al.				
Examiner Name	Not Yet Known				
Art Unit	1723				
Attorney Docket No.	KUM-PT002				

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
☐ Check ☑ Credit card ☐ Money ☐ Other ☐ None				3. ADDITIONAL FEES						
Deposit Account:				<u>Large</u>	Large Entity   Small Entity					
	Deposit 32 0403			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account Number	22-0493			1051	130	2051		Surcharge - late filing fee or oath	130	
Deposit Account	Vo	lpe and Koenig, P.(	D	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name	authorized	to: (check all that apply)		1053	130	1053	130	Non-English specification		
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments				1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
	` '	e(s) or any underpayment of		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee	(s) indicated	below, except for the filing	fee	1805	1.840*	1805	1.840*	Requesting publication of SIR after		
to the above-id	entified depo	sit account.			.,		.,	Examiner action	110	
	FEE	CALCULATION		1251	110	2251	55	Extension for reply within first month	110	
1. BASIC F	LING FEE	•		1252	420	2252	210	Extension for reply within second month		
Large Entity		For Bornelotter	Coo Doid	1253	950	2253		Extension for reply within third month		
	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month		
1001 770	2001 385	Utility filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month		
1002 340	2002 170	Design filing fee		1401	330	2401	165	Notice of Appeal		
1003 530	2003 265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal		
1004 770	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing		
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
		SUBTOTAL (1) (\$) C		1452	110	2452	55	Petition to revive - unavoidable		
				1453	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				1501	1,330	2501	665	Utility issue fee (or reissue)		
Total Claims		Extra Claims below	y Fee Paid	1502	480	2502		Design issue fee		
Independent	H.	= X L	╣┸	1503	640	2503		Plant issue fee		
Claims Multiple Deper	ndent	= L × <u> </u>		1460	130	1460	130	Petitions to the Commissioner		
		<u> </u>		1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)		
Large Entity Fee Fee	Small Enti			1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$)	Code (\$)		,	8021	40	802 <sup>-</sup>	1 40	Recording each patent assignment per property (times number of properties)		
1202 18 1201 86	2202 2201	<ul><li>9 Claims in excess of 20</li><li>43 Independent claims in</li></ul>		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290		45 Multiple dependent cla		1810	770	2810	385	For each additional invention to be		
1204 86	2204	43 ** Reissue independe over original patent	nt claims	1801	770	2801	205	examined (37 CFR 1.129(b))		
1205 40	2005	9 ** Reissue claims in e.	vence of 20	1801	900	1802		Request for Continued Examination (RCE)  Request for expedited examination		
1205 18	2205	and over original pa		1002	550	1	500	of a design application		
SUBTOTAL (2) (\$) 0				Other fee (specify)						
**or number previously paid, if greater; For Reissues, see above				*Red	iced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$) 240	<u> </u>	

(Complete (if applicable)) SUBMITTED BY Registration No. Louis Weinstein 20,477 Telephone 215-568-6400 Name (Print/Type) 04 Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

KUM-PT002

September 7, 2004



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File:

Date:

## In the **PATENT APPLICATION** of:

Manna et al.

**Application No.:** 10/813,815

Confirmation No.: 5043

Filed:

March 31, 2004

For: DEVICE AND METHOD FOR NON-

DISPERSIVE CONTACTING OF

LIQUID-LIQUID REACTIVE SYSTEM

Group:

1723

Examiner:

Not Yet Known

## COMMUNICATION IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed from the Office on June 14, 2004, the following are enclosed:

- 1. Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2. Original Fully Executed Declaration;
- 3. Petition for one (1) month Extension of Time;
- Request for Corrected Filing Receipt; 4.
- 5. Copy of marked-up filing receipt;



6.

Applicant: Manna et al. **Application No.:** 10/813,815

Supplemental Application Data Sheet; and 5.

, film fre filo. 10 } Payment of the surcharge fee of \$130.00 (Form PTO-2038) for a large

entity.

Prompt examination of the Application is respectfully requested.

Respectfully submitted,

Manna et al.

By\_ Louis Weinstein

Registration No. 20,477

(215) 568-6400

Volpe and Koenig, P.C. United Plaza, Suite 1600 30 South 17th Street Philadelphia, PA 19103

LW/ns Enclosures (4)